

# ***In the United States Patent and Trademark Office***

<b>Serial No.:</b>	09/841,388	<b>Docket No.:</b>	17209-476
<b>Filed:</b>	April 24, 2001	<b>Conf.No.:</b>	6352
<b>Applicant(s):</b>	Asif Adatia	<b>Art Unit:</b>	3695
<b>Examiner:</b>	Havan, Thu Thao		
<b>For:</b>	Automated Securities Trade Execution System and Method		

Mailstop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## **AMENDMENT AND RESPONSE**

Sir:

Further to the Non-Final Office Action dated September 29, 2009 (hereinafter "Office Action"), and in view of the following amendments and/or remarks, Asif Adatia and/or Attorney(s) for applicant(s) (hereinafter "Applicant") request entry thereof and reconsideration of the above-referenced application.

**Amendments to the Claims** are reflected in the listing of claims begin on page 2.

**Remarks** begin on page 9 of this paper.

**35 USC § 102** remarks begin on page 9 of this paper.